Submit fifteen (15) copies of the all documents along with Covering letter to the Member Secretary, Institute Ethics Sub-Committee at Room No. 102, 1st Floor, Old OT Block, AIIMS, Tel No. 4579. The documents should also be submitted in a soft copy in two PDF files separately on a single CD containing the following in SEQUENCE:

**PDF 1 (Signed copies):**
1. Covering letter (through the Head of Department)
2. First or signed page/s of the Format
3. Undertaking that the work has not started and that the work will be done as per ICMR/GCP guidelines
4. Undertaking that the scales/questionnaires/scores to be used are not copyrighted or permission to use them has been obtained
5. Any other signed document/s

**PDF 2:**
1. Duly filled format of Ethics Sub-Committee except signed first page/s
2. All relevant Participant Information Sheets in English and Hindi
3. All relevant Participant Informed Consent Forms in English and Hindi
4. Copy of Thesis Protocol
5. Budget (if applicable)
6. Any other relevant annexures

The Investigator must submit protocol through Chief Guide and Head of Department who ensures that the project has been wetted both from the scientific and ethical point of view.

No thesis work shall be / can be started unless ethics clearance/approval is obtained. Please bear in mind that no retrospective / post facto ethical clearance can be provided to research projects which were neither submitted nor wetted by the ethics committee.

All submissions should be made in the prescribed Format of the Institute Ethics Sub-Committee with signatures of all the investigators. The submission must be accompanied with Participant Informed Consent Form (PICF) and Participant Information Sheet (PIS), both in English and Hindi, in a simple layman’s language in a narrative form, directed to Participant /LAR, covering all the points given on the website. (See AIIMS website at www.aiims.ac or www.aiims.edu.in for details / proforma). Also ensure that all the pages are numbered.

**Project Submission Time:** Submissions will be received on all days. Proposals received till 15th of any month will be processed in the coming Institute Ethics Committee and Institute Ethics Sub-Committee meetings and those received after 15th will be processed in the next Institute Ethics Committee and Institute Sub-Committee meetings. All meetings of Institute Ethics Committee will be held on first Monday as far as possible of Jan, Feb, March, April, May, June, July, August, September, October, November, December and those of Institute Ethics Sub-Committee on the immediately preceding Friday of the above dates.
While submitting replies raised by the Institute Ethics Committee/Sub-Committee, the candidates are advised to mention the Institute Ethics Committee/Sub-Committee reference number/s and also attach a copy of the comments of the Institute Ethics Committee/Sub-Committee.

It is desirable that topics pertaining to clinical/drug trials should be avoided as thesis topics to Ph.D / DM / M.Ch / MD / MS / MHA / MDS / M.Sc. / M.Biotech and MBBS students. In case these are given, appropriate DCGI permission should be available.

**Reply Submission:** While submitting reply raised by the Ethics Committee/Sub-Committee, the Investigators are advised to submit these through Chief-Guide. They should also mention the Ethics Committee/Sub-Committee Reference number/s and also attach a copy of the comments of the Ethics Committee/Sub-Committee. These changes should be incorporated as a soft copy in the CD.

**Amendment Submission:** While submitting amendments in protocols a covering letter should be provided clearly stating the changes and soft copy of the same should be submitted in a CD.

The research projects proposal submitted should be as follows:

| 1. Full Title of Study: |  
| --- | --- |
| **1a. AIIMS Temporary Research Section Number for all Clinical Trials which are privately funded** |  
| 2.1 Name & signatures of the candidate | 2.1__________________________ Signatures________________ |
| 2.2 Department | 2.2__________________________ |
| 2.3 Degree/course | 2.3 B.Sc/MBBS/M.Sc/MD/MS/MHA/MDS/M.Biotech/MCh/DM/Ph.D (encircle) |
| 2.4 Batch of admission to course | 2.4 January/July __________ (year) |
| 2.5 Month & year of submission of thesis | 2.5 June/November ________ (year) |
| 2.6 Email ID of the Candidate and Chief Guide. |  
| |  
| 3. Name of Faculty & Department (Guide/Co-guide) (Minimum two co-guides signatures are required) | Signatures (Guide/Co-Guides) |
| 3.1 | 3.1 |
| 3.2 | 3.2 |
| 3.3 | 3.3 |
| 3.4 | 3.4 |
| 3.5 | 3.5 |
| (Expand if any more co-guides) |  
| |  

## 4. Objectives of the study

4.1_____________________________________________

4.2_____________________________________________

4.3_____________________________________________

4.4_____________________________________________

4.5_____________________________________________

## 5. Why this study is required?
Please provide brief justification.

## 6. Methodology

6.1. Number of Patients:

6.2. Inclusion criteria
   a)______________________________________________
   b)______________________________________________
   c)______________________________________________
   d)______________________________________________

6.3. Exclusion criteria
   a)______________________________________________
   b)______________________________________________
   c)______________________________________________
   d)______________________________________________

6.4. Control(s)

6.5. Study design

6.6. Dosages of drug

6.7. Duration of treatment

6.8. Investigation specifically related to projects

6.9. Permission to use copyrighted Questionnaire/proforma

6.10. Brief Methodology

6.11. Others

## 7. Permission from Drug Controller General of India (DCGI)

1. □ Required
   2. □ Not required
   3. □ Received
   4. □ Applied when:

## 8. Permission from DGFT, if required

1. □ Required
   2. □ Not required
   3. □ Received
   4. □ Applied when:

## 9. a) Safety measures for proposed interventions
   b) Results of relevant laboratory tests
   c) Result of studies in human

   a)______________________________________________
   b)______________________________________________
   c)______________________________________________

## 10. Plans to withdraw standard therapy in research

□ Yes □ No

Remarks:_______________________________________

## 11. Plan for provision of coverage for medical risk
12. How you will maintain Confidentiality of subject?

13. **Costs Involved (Appx. in Rs.)**
   - 13.1 Investigations
   - 13.2 Disposables
   - 13.3 Implants
   - 13.4 Drugs / Contrast Media

**Who will bear the costs of the requirements?** *(mark √)*

14. **Participant Information Sheet** *(mark √ if yes)*
   - Attached English version
   - Attached Hindi version
   - Certified that Hindi version is a true translation of English version

15. **Participant Informed Consent Form** *(mark √ if yes)*
   - Attached English version
   - Attached Hindi version
   - Certified that Hindi version is a true translation of English version

16. **Whether any work on this project has started or not?** *(mark √ if yes, X if no)*
   - (Please enclose a separate certificate to this effect).

17. **Attached documents (If any)**
   - 17.1 Covering letter, through proper channel.
   - 17.2 Copy of the detailed protocol is mandatory
   - 17.3 Undertaking that the study shall be done in accordance with ICMR and GCP guidelines
   - 17.4 In case of multicentric study, IEC clearance of other centers must be provided
   - 17.5 Definite undertaking as to who will bear the expenditure of injury related to the project
   - 17.6 In case an insurance cover is intended, Insurance certificate must be provided (as per ICMR guidelines)
   - 17.7 : Permission as mentioned in 6.9
   - 17.8: Certificate/undertaking as mentioned in 16
   - 17.9 In case of Clinical trials, proof of registration of Clinical trial with ICMR needs to be submitted.
   - 17.10 Investigator should provide undertaking what they will do with the leftover sample tissue
   - 17.11 **Soft copy of all the documents in PDF in a two separate files (signed and unsigned) on a single CD**
   - 17.12 Others: