

**STANDARD OPERATING PROCEDURES
ALL INDIA INSTITUTE OF MEDICAL SCIENCES, NEW DELHI
INSTITUTE ETHICS COMMITTEE**

Responsibility:

To ensure that the research projects that are carried out at All India Institute of Medical Sciences

- Are sound in design, have statistical validity and are conducted according to the Indian Council of Medical Research and International Conference on
- Harmonisation/Good Clinical Practice guidelines
Do not compromise right, safety and benefits of the patients or volunteers/ study participants.
- Are conducted under the supervision of trained medical / bio-medical persons with the required expertise
- Include, solely, patients or participant who have given voluntary and informed consent
- It may be ensured that no research project shall be / can be started unless Ethics Clearance /
- Approval is obtained and that no retrospective / post facto Ethics Clearance/ Approval can be
- provided to research projects which were neither submitted nor vetted by the Institute Ethics Committee.

The committee expects from the investigators:

- A progress report on six monthly basis or more frequently as the committee feels it.

All serious adverse events observed during conduct of the study should be reported with all the details to the Institute Ethics Committee within twenty four hours and should be reported within ten days to The Drugs Controller General (India), Directorate General of Health Services, Central Drugs Standard Control Organization, New Delhi.*

- To keep informed of amendments to any study related documents
- To keep informed of study discontinuation with reasons.

***THE GAZETTE OF INDIA : EXTRA ORDINARY PART II – Sec. 30(i) page no. 10**

Composition:

The Institute Ethics Committee consists of 15 members

- 8 members are from inside All India Institute of Medical Sciences
- 7 members are from outside All India Institute of Medical Sciences
- Out of 15 members 2 members are ladies

These members are as follows:

a) Outside All India Institute of Medical Sciences

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|---|--------------------------------|
| 1. Prof. Shashi Wadhwa, MS, PhD
Chairman-Institute Ethics Committee
EX-Dean AIIMS & Ex Professor of Anatomy, AIIMS
R/o - B-114, Sector-30, Noida , U.P.
Mobile No. 9910769909
Email Id: shashiwadhwa@hotmail.com | Chairperson
Basic Scientist |
| 2. Dr. Arun Agarwal | Member |

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| <p>Ex-Dean
 Professor of Excellence
 Department of ENT
 Maulana Azad Medial College
 President Delhi Medical Council
 President Delhi Council of Physiotherapy and Occupational Therapy
 R/o – N-9, Green Park Main, New Delhi-110016
 Mobile No. 9868252828
 Email Id: arunmamce@gmail.com</p> | <p>Clinician</p> |
| <p>3. Dr. Chandar Shekhar
 Dy. Director General,
 2nd Floor ICMR, New Delhi-110049
 Telephone No.-Off.-01126588862
 Email Id: shekharc57@yahoo.com</p> | <p>Member
 Basic Scientist</p> |
| <p>4. Dr. D.R. Saini, M.A. Phd., M.ED,L.L.B.
 Member-Institute Ethics committee
 Principal DPS School, R.K. Puram Sector-12, New Delhi
 Mobile No. 9811047905
 Email Id: saini.dr@gmail.com</p> | <p>Member
 Lay person</p> |
| <p>5. Sh. Mahmood Pracha, L.L.B.
 Member-Institute Ethics committee
 SLC, AIIMS
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 C-253, Second Flood, Defence Colony,
 New Delhi-49.
 Telephone No.-Off.-41404040
 Email Id: mp@legalaxis.net</p> | <p>Member
 Legal person</p> |
| <p>6. Prof. Rama V. Baru
 Member-Institute Ethics committee
 Professor
 Centre of Social Medicine and Community Health
 Social Sciences, JNU
 R/o D-44, 3rd Floor, Panchsheel Enclave, New Delhi-17
 Off. Phone-26704489
 Mobile No. 9810736365
 Email - rama.v.baru@gmail.com
 Web Address-http://www.jnu.ac.in</p> | <p>Member
 Social Scientist</p> |
| <p>7. Prof. S.C. Tiwari, DM (Medicine)
 Ex-HOD Department of Nephrology, AIIMS
 New Delhi
 Director Nephrology Fortis Institute of Renal Sciences &
 Transplantation (FIRST) Fortis Hospital Vasant Kunj. New Delhi.
 R/o- H.No. 3611, D-3, Vasant Kunj, New Delhi-70
 Mobile No.9810517781
 Email sctiwari@hotmail.com</p> | <p>Member
 Clinician</p> |

b) Inside All India Institute of Medical Sciences

1. **Dr. S.K. Maulik, MD PhD**
Professor
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Member
Basic Scientist
2. **Prof. Nikhil Tandon, MD, Ph.D(cantab), FNASc**
Member, Prof. Deptt. of Endocrinology
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Member
Clinician
3. **Dr. Peush Sahni, MS, Mch.**
Member-Institute Ethics committee
Editor
National Medical Journal of India
Professor & Head of the Deptt. of GI Surgery and Liver Transplantation
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Clinician
4. **Prof. S.K. Kabra**
Member-Institute Ethics committee
Professor, Deptt. of Paedtrics, AIIMS, New Delhi
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Member
Clinician
5. **Prof. R.M. PANDEY, Ph.D., DPS, FRSS (UK), FSMS**
Professor & Head
Department of Biostatistics
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Member
Basic Scientist
Biostatistician
6. **Dr Virinder Kumar Bansal**
Additional Professor of Surgery
Consultant General, Laparoscopic and Renal Transplant Surgeon
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| <p>7. Dr. Sameer Bakhshi,
Addl. Prof. of Medical Oncology,
Institute of Rotary Cancer Hospital AIIMS, New Delhi.
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Clinician</p> |
| <p>8. Prof. Pramod Kumar Garg
Prof. of Gastroenterology
AIIMS, New Delhi
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Mobile No.-9810038116,9868397205
Email Id: pgarg10@gmail.com</p> | <p>Member Secretary
Clinician</p> |

If a member is unable to attend a meeting his/her opinion on the project on the agenda may be submitted in writing to the Chairperson of the Committee before the date of the meeting or decision. The decision of the committee is taken by majority vote. If Chairperson is absent he/she can nominate a person from the Institute Ethics Committee to chair.

Procedures:

A quorum is required for all meetings (7 members out of 15 make a quorum). Approval of a project is made by consensus of members present at the meeting. The members can voluntarily withdraw from membership of Institute Ethics Committee after giving due justification and permission of appointing authority. In case a member is absent from Institute Ethics Committee meeting, the following is considered: Since the projects are circulated prior to two weeks of Institute Ethics Committee meeting, if no objection / comments are obtained from that member, they are considered to be approved by that member. Serious Adverse Effects should be reported to Institute Ethics Committee within 24 hours and to DCGI within 10 working days. Efforts are made to ensure that individuals or communities invited for research are selected in such a way that the burdens and benefits of the research are equally distributed. These vulnerable populations include:

- a. Racial inequalities
- b. Economically or socially disadvantaged
- c. Mentally challenged and mentally differently able persons with reduced autonomy (prisoners, students, subordinates, employees, service personnel)

After three years, some new members who have been earlier trained in research methodology workshop are appointed while retaining some older members for guiding them by their hands-on-training in Ethics Committee.

All applicants have to give an undertaking declaring their conflict of interest. Regarding projects from members of Institute Ethics Committee, these members should voluntarily withdraw from the Institute Ethics Committee meeting while making a decision on that project which evokes a Conflict of Interest. This may be indicated to the Chairperson prior to the review and be recorded so in the minutes. (All members shall sign a declaration on conflict of interest).

The chairman appoints a member to write the minutes of the meetings: It is the Member-Secretary who writes the minutes. Minutes are circulated to the Chairperson and after his approval, the comment letters to applicants may be dispatched after the signature of Member-Secretary of the Institute Ethics Committee. After the meeting, the approval of the members of the Institute Ethics Committees obtained on the same day of the meeting. In order to streamline the work of Institute Ethics Committee, an Institute Ethics Committee for Post Graduates Research been formed to assist in evaluation of ethical angles of the MBBS / M.Sc./M.Biotech/MD/MS/MHA/DM/M.Ch./Ph.D thesis/dissertation. The report of this committee will be submitted in the meeting of Institute Ethics Committee for approval. The applicant of a proposal is required to submit 15 copies of his / her application letter and copies of the following documents:

- 1) Research Protocol
- 2) Information as desired in the "Format for Submission"
- 3) Investigator's Brochure
- 4) Participant Informed consent form and Participant information sheet in English and translated language in a simple layman's language, in a narrative form directed to Participant/LAR, covering all the points given on the website
- 5) Any other project – specific document.
- 6) Certificate that no work has started.
- 7) Certificate that work will be done as per Indian Council of Medical Research/Good Clinical Practice guidelines
- 8) Permission to use copyrighted questionnaire and proforma
- 9) Updated brief Curriculum Vitae of Principal Investigators

The schedules of submitting the proposal is as follows:

Submissions will be received on all days. Proposals received till 15th of any month will be processed in the coming Institute Ethics Committee meeting and those received after 15th will be processed in the next Institute Ethics Committee meeting. All meetings of Institute Ethics Committee will be held as far as possible on first Monday of January, February, March, April, May, June, July, August, September, October, November and December and those of Institute Ethics Committee for Post Graduates Research on the immediately preceding Friday of the above dates.

The committee will give its opinion on the project in writing in one of the following ways:

Approval
Disapproval

Modification before approval

Discontinuation of previously approved project

The Chairperson / Member-Secretary of the committee may provisionally approve without calling a full meeting in case where only administrative amendment has been made / expedited review is required. This decision will be ratified at the next full committee meeting and minuted. All documents pertaining to the Institute Ethics Committee will be held in the office of the Member-Secretary of Institute Ethics Committee. Members voluntarily withdraw from the Institute Ethics Committee meeting while making a decision on an application which evokes a conflict of Interest which may be indicated in writing to the Chairman prior to the review and be recorded so the minutes. All members shall sign a declaration on conflict of interest.

Serious Adverse Response should be submitted to Contract Research Organisation / Institute Ethics Committee within twenty four hours. In order to assist the Institute Ethics Committee for monitoring of adverse events in clinical trials, a Sub-committee has been constituted vide Memorandum no. F.20-29/2013-Estt.-I dated 09.01.2014. Its function includes giving opinion on causality of Serious Adverse Events and also decide the amount of compensation to be given to the patients with trial related injury along with monitoring of clinical trials. The sub Committee will convey its recommendation to the Institute Ethics Committee which will inform the DCGI its decision about the casualty and compensation regarding the serious adverse events.

This Standard Operating Procedure is effective w.e.f..11.02.2014

(Dr. Pramod Garg)
Member-Secretary
Institute Ethics Committee