

**STANDARD OPERATING PROCEDURES  
ALL INDIA INSTITUTE OF MEDICAL SCIENCES, NEW DELHI  
INSTITUTE ETHICS COMMITTEE**

**Responsibility:**

To ensure that the research projects that are carried out at All India Institute of Medical Sciences

- Are sound in design, have statistical validity and are conducted according to the Indian Council of Medical Research and International Conference on
- Harmonisation/Good Clinical Practice guidelines  
Do not compromise right, safety and benefits of the patients or volunteers/ study participants.
- Are conducted under the supervision of trained medical / bio-medical persons with the required expertise
- Include, solely, patients or participant who have given voluntary and informed consent
- It may be ensured that no research project shall be / can be started unless Ethics Clearance /
- Approval is obtained and that no retrospective / post facto Ethics Clearance/ Approval can be
- provided to research projects which were neither submitted nor vetted by the Institute Ethics Committee.

**The committee expects from the investigators:**

- A progress report on six monthly basis or more frequently as the committee feels it.

**All serious adverse events observed during conduct of the study should be reported with all the details to the Institute Ethics Committee within twenty four hours and should be reported within ten days to The Drugs Controller General(India), Directorate General of Health Services, Central Drugs Standard Control Organization, New Delhi.\***

- To keep informed of amendments to any study related documents
- To keep informed of study discontinuation with reasons.

**\*THE GAZETTE OF INDIA : EXTRA ORDINARY PART II – Sec. 30(i) page no. 10**

**Composition:**

**The Institute Ethics Committee consists of 15 members**

- 10 members are from inside All India Institute of Medical Sciences
- 5 members are from outside All India Institute of Medical Sciences
- Out of 15 members 2 members are ladies

**These members are as follows:**

**a) Outside All India Institute of Medical Sciences**

1. Dr. T.P. Singh,  
Research Professor,  
Deptt. of Distinguished Biotechnology, New Delhi  
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Chairman  
Clinician

2. Dr. Arun Agarwal

Member

- Ex-Dean  
 Professor of Excellence  
 Department of ENT  
 Maulana Azad Medical College  
 President Delhi Medical Council  
 President Delhi Council of Physiotherapy and Occupational Therapy  
 R/o – N-9, Green Park Main, New Delhi-110016  
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3. Dr. D.R. Saini, M.A. Phd., M.ED,L.L.B.  
 Member-Institute Ethics committee  
 Principal DPS School, R.K. Puram Sector-12, New Delhi  
 Mobile No. 9811047905  
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4. Mr. RajanKhoshla, L.L.B.  
 Legal Person,  
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5. Prof. Rama V. Baru  
 Member-Institute Ethics committee  
 Professor  
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## b) Inside All India Institute of Medical Sciences

1. Dr. Sunesh Kumar  
 Professor  
 Dept. of Obst. &Gynae  
 AIIMS, New Delhi-29  
 Tel. No. 26594592  
 Email- [kumar.sunesh@yahoo.com](mailto:kumar.sunesh@yahoo.com)
2. Dr. RamanjitSihota,  
 Professor,  
 Dept. of R.P. Centre,  
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3. Dr. S.K. Maulik, MD PhD  
 Professor  
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Basic Scientist
4. Prof. Nikhil Tandon, MD, Ph.D(cantab), FNASc  
 Member, Prof&Head Deptt. of Endocrinology  
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Clinician
5. Dr. PeushSahni, MS, Mch.  
 Member-Institute Ethics committee  
 Editor  
 National Medical Journal of India  
 Professor & Head of the Deptt. of GI Surgery and Liver Transplantation  
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6. Prof. S.K. Kabra  
 Member-Institute Ethics committee  
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Clinician
7. Prof. R.M. PANDEY, Ph.D., DPS, FRSS (UK), FSMS  
 Professor & Head  
 Department of Biostatistics  
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Basic Scientist  
Biostatistician
8. DrVirinder Kumar Bansal  
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 Consultant General, Laparoscopic and Renal Transplant Surgeon  
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10. Prof.Pramod Kumar Garg Member Secretary  
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If a member is unable to attend a meeting his/her opinion on the project on the agenda maybe submitted in writing to the Chairperson of the Committee before the date of the meeting or decision. The decision of the committee is taken by majority vote. If Chairperson is absent he/she can nominate a person from the Institute Ethics Committee to chair.

**Procedures:**

A quorum is required for all meetings (7 members out of 15 make a quorum). Approval of a project is made by consensus of members present at the meeting. The members can voluntarily withdraw from membership of Institute Ethics Committee after giving due justification and permission of appointing authority. In case a member is absent from Institute Ethics Committee meeting, the following is considered: Since the projects are circulated prior to two weeks of Institute Ethics Committee meeting, if no objection / comments are obtained from that member, they are considered to be approved by that member. Serious Adverse Effects should be reported to Institute Ethics Committee within 24 hours and to DCGI within 10 working days. Efforts are made to ensure that individuals or communities invited for research are selected in such a way that the burdens and benefits of the research are equally distributed. These vulnerable populations include:

- a. Racial inequalities
- b. Economically or socially disadvantaged
- c. Mentally challenged and mentally differently able persons with reduced autonomy (prisoners, students, subordinates, employees, service personnel)

After three years, some new members who have been earlier trained in research methodology workshop are appointed while retaining some older members for guiding them by their hands-on training in Ethics Committee.

All applicants have to give an undertaking declaring their conflict of interest. Regarding projects from members of Institute Ethics Committee, these members should voluntarily withdraw from the Institute Ethics Committee meeting while making a decision on that project which evokes a Conflict of Interest. This may be indicated to the Chairperson prior to the review and be recorded so in the minutes. (All members shall sign a declaration on conflict of interest).

The chairman appoints a member to write the minutes of the meetings: It is the Member-Secretary who writes the minutes. Minutes are circulated to the Chairperson and after his approval, the comment letters to applicants may be dispatched after the signature of Member-Secretary of the Institute Ethics Committee. After the meeting, the approval of the members of the Institute Ethics Committees obtained on the same day of the meeting. In order to streamline the work of Institute Ethics Committee, an Institute Ethics Committee for Post Graduates Research been formed to assist in evaluation of ethical angles of the MBBS / M.Sc./M.Biotech/MD/MS/MHA/DM/M.Ch./Ph.D thesis/dissertation. The applicant of a proposal is required to **submit 01 copy of his / her application letter and upload the research protocol on IEC software portal(<http://14.139.245.45:8082/>) with these following documents:**

- 1) Research Protocol
- 2) Information as desired in the "Format for Submission"
- 3) Investigator's Brochure
- 4) Participant Informed consent form and Participant information sheet in English and translated language in a simple layman's language, in a narrative form directed to Participant/LAR, covering all the points given on the website
- 5) Any other project – specific document.
- 6) Certificate that no work has started.
- 7) Certificate that work will be done as per Indian Council of Medical Research/Good Clinical Practice guidelines
- 8) Permission to use copyrighted questionnaire and proforma
- 9) Updated brief Curriculum Vitae of Principal Investigators

**The schedules of submitting the proposal is as follows:**

Submissions will be received on all days. Proposals received till 15<sup>th</sup> of any month will be processed in the coming Institute Ethics Committee meeting and those received after 15<sup>th</sup> will be processed in the next Institute Ethics Committee meeting. All meetings of Institute Ethics Committee will be held as far as possible on 1<sup>st</sup> week of every month of January, February, March, April, May, June, July, August, September, October, November and December and those of Institute Ethics Committee for Post Graduates Research on the immediately preceding of the above dates.

**The committee will give its opinion on the project in writing in one of the following ways:**

Approval  
Disapproval

Modification before approval

Discontinuation of previously approved project

The Chairperson / Member-Secretary of the committee may provisionally approve without calling a full meeting in case where only administrative amendment has been made / expedited review is required. This decision will be ratified at the next full committee meeting and minuted. All documents pertaining to the Institute Ethics Committee will be held in the office of the Member-Secretary of Institute Ethics Committee. Members voluntarily withdraw from the Institute Ethics Committee meeting while making a decision on an application which evokes a conflict of Interest which may be indicated in writing to the Chairman prior to the review and be recorded so the minutes. All members shall sign a declaration on conflict of interest.

Serious Adverse Response should be submitted to Contract Research Organisation / Institute Ethics Committee within twenty four hours. In order to assist the Institute Ethics Committee for monitoring of adverse events in clinical trials, a Sub-committee has been constituted vide Memorandum no. F.20-29/2013-Estt.-I dated 09.01.2014. Its function includes giving opinion on causality of Serious Adverse Events and also decide the amount of compensation to be given to the patients with trial related injury along with monitoring of clinical trials. The sub Committee will convey its recommendation to the Institute Ethics Committee which will inform the DCGI its decision about the casualty and compensation regarding the serious adverse events.

**This Standard Operating Procedure is effective w.e.f..01.10.2015**

(Dr. Pramod Kumar Garg)  
Member-Secretary  
Institute Ethics Committee